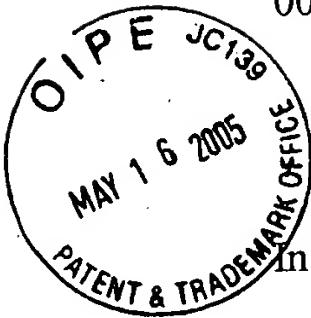


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0862.022392.

PATENT APPLICATION



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

FUMITAKA TOYOMURA, et al.

Application No.: 09/963,569

Filed: September 27, 2001

For: POWER CONVERTING  
APPARATUS AND POWER  
GENERATING APPARATUS

Examiner: J. Han

Group Art Unit: 2838

May 13, 2005

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and in accordance with the practice under 37 C.F.R. §§ 1.97 and 1.98, the Examiner's attention is directed to the documents listed below and on the enclosed Form PTO-1449.

- (1) U.S. Patent No. 4,915,639
- (2) U.S. Patent No. 5,185,536
- (3) U.S. Patent No. 5,260,864
- (4) U.S. Patent No. 5,262,935
- (5) U.S. Patent No. 5,334,877
- (6) U.S. Patent No. 5,426,579
- (7) U.S. Patent No. 5,602,462
- (8) U.S. Patent No. 5,670,833
- (9) U.S. Patent No. 5,715,156
- (10) U.S. Patent No. 5,760,495
- (11) U.S. Patent No. 5,777,396
- (12) U.S. Patent No. 5,973,948
- (13) U.S. Patent No. 6,803,707
- (14) U.S. Patent Application Publication. No. 2004/0027902

- (15) JP 09-054623
- (16) JP 09-135578
- (17) JP 100-14258
- (18) JP 100-23671
- (19) JP 2000-134825
- (20) JP 2000-152651
  
- (21) DE 3637669
  
- (22) EP 0409226
- (23) EP 0652631
- (24) EP 0712200
- (25) EP 0817350

Documents (1) to (12) and (15) to (25) were cited during prosecution of a European patent application corresponding to the above U.S. application. A copy of the European Search Report, which is dated March 24, 2005, is enclosed.

English-language abstracts accompany each of documents (15) to (21). Additionally, it is noted that document (13) is an English-language counterpart for document (19) and document (14) is an English-language counterpart for document (20). The concise explanation of relevance for each of the non-English language documents (15) to (21) is believed to be satisfied by the European Search Report, the English language abstracts, and the English language counterparts. See MPEP § 609.

Inasmuch as the subject application has not yet received a first Office Action, it is believed that this Information Disclosure Statement is timely. See 37 C.F.R. § 1.97(b)(3). Accordingly, the Examiner is urged to study this information in its entirety and to form an independent determination of the materiality of the information to the claimed invention. Additionally, the Examiner is requested to indicate that this information has been considered by initialing the appropriate portion of Form PTO-1449 and returning the initialed form to Applicants with the next communication.

Applicants' undersigned attorney may be reached in our Costa Mesa, California office by telephone at (714) 540-8700. All correspondence should continue to be directed to our address given below.

Respectfully submitted,



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ATTY DOCKET NO.  
**00862.022392.**APPLICATION NO.  
**09/963,569**APPLICANT  
**FUMITAKA TOYOMURA, et al.**FILING DATE  
**September 27, 2001**GROUP  
**2838**

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	4,915,639	04/1990	Cohn, et al.	439	188	
	5,185,536	02/1993	Johnson, Jr. et al.	307	66	
	5,260,864	11/1993	Simonelli, et al.	363	143	
	5,262,935	11/1993	Shirahama, et al.	363	71	
	5,334,877	08/1994	Mohan, et al.	307	46	
	5,426,579	06/1995	Paul, et al.	363	126	
	5,602,462	02/1997	Stich, et al.	323	258	
	5,670,833	09/1997	Mengelt, et al.	307	66	
	5,715,156	02/1998	Yilmaz, et al.	363	142	
	5,760,495	06/1998	Mekanik	307	66	
	5,777,396	07/1998	Kikuchi	307	112	
	5,973,948	10/1999	Hahn, et al.	363	146	

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO/ OR ABSTRACT
	09-054623	02/1997	Japan			Abstract
	09-135578	05/1997	Japan			Abstract
	100-14258	01/1998	Japan			Abstract
	100-23671	01/1998	Japan			Abstract
	2000-134825	05/2000	Japan			Abstract

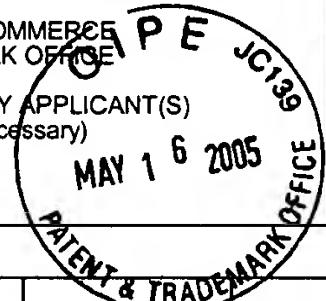
OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Sheet 1 of 2

LIST OF REFERENCES CITED BY APPLICANT(S)  
(Use several sheets if necessary)ATTY DOCKET NO.  
**00862.022392.**APPLICATION NO.  
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**FUMITAKA TOYOMURA, et al.**FILING DATE  
**September 27, 2001**GROUP  
**2838**

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	<b>6,803,707</b>	<b>10/2004</b>	<b>Ishiwata, et al.</b>	<b>313</b>	<b>310</b>	
	<b>2004/0027902</b>	<b>02/2004</b>	<b>Ooishi, et al.</b>	<b>365</b>	<b>222</b>	

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO/ OR ABSTRACT
	<b>2000-152651</b>	<b>05/2000</b>	<b>Japan</b>			<b>Abstract</b>
	<b>3637669</b>	<b>05/1988</b>	<b>DE</b>			<b>Abstract</b>
	<b>0409226</b>	<b>01/1991</b>	<b>EP</b>			
	<b>0652631</b>	<b>05/1995</b>	<b>EP</b>			
	<b>0712200</b>	<b>05/1996</b>	<b>EP</b>			
	<b>0817350</b>	<b>01/1998</b>	<b>EP</b>			

## OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

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